

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known; see 37 CFR 1.5)

**09/674403**INTERNATIONAL APPLICATION NO.  
**PCT/US99/10179**INTERNATIONAL FILING DATE  
**10.05.99 / 10 May 1999**PRIORITY DATE CLAIMED  
**19.05.98 / 19 May 1998**TITLE OF INVENTION **SEROTONERGIC 5HT7 RECEPTOR COMPOUNDS FOR TREATING OCULAR AND CNS DISORDERS**APPLICANT(S) FOR DO/EO/US **Jesse A. May, Thomas R. Dean, Najam A. Sharif, and Hwang-Hsing Chen**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4.  The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is attached hereto (required only if not communicated by the International Bureau).
  - b.  has been communicated by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are attached hereto (required only if not communicated by the International Bureau).
  - b.  have been communicated by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 16 below concern document(s) or information included:**

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A **FIRST** preliminary amendment.
- A **SECOND** or **SUBSEQUENT** preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney and/or address letter.
16.  Other items or information:

COPY of Recorded Assignment  
 COPY International Search Report  
 COPY International Preliminary Examination Report

Express Mail No.  
**EK810444575US**

17.  The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00  
 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00  
 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00  
 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00  
 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 710.00

Surcharge of \$130.00 for furnishing the oath or declaration later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

| CLAIMS   | NUMBER FILED | NUMBER EXTRA | RATE       |                                  |
|--|--------------|--------------|------------|----------------------------------|
| Total claims   | 49 - 20 =    | 29           | X \$18.00  | \$ 522.00                        |
| Independent claims   | 44 - 3 =     | 41           | X \$80.00  | \$ 3,280.00                      |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |              |              | + \$270.00 | \$                               |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |              |            | \$ 4,512.00                      |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |              |              |            | \$                               |
| <b>SUBTOTAL =</b>  |              |              |            | \$ 4,512.00                      |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). |              |              |            | \$                               |
| <b>TOTAL NATIONAL FEE =</b>  |              |              |            | \$ 4,512.00                      |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property                                 |              |              |            | \$ .00                           |
| <b>TOTAL FEES ENCLOSED =</b>   |              |              |            | \$                               |
|  |              |              |            | <b>Amount to be refunded:</b> \$ |
|  |              |              |            | <b>charged:</b> \$               |

a.  A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

- b.  Please charge my Deposit Account No. 01-0682 in the amount of \$ 4,512.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-0682. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:



26356

PATENT TRADEMARK OFFICE

*Sally Yeager*  
SIGNATURE: Sally Yeager  
(817) 551-4031

NAME: 32,757

REGISTRATION NUMBER